MIS5206 - Unit#3c   Your Name _____

1. The BEST method for assessing the effectiveness of a business continuity plan is to review the:
   a) Plans and compare them to appropriate standards
   b) Results from previous tests
   c) Emergency procedures and employee training
   d) Offsite storage and environmental controls

2. With respect to business continuity strategies, an information system (IS) auditor interviews key stakeholders in an organization to determine whether they understand their roles and responsibilities. The IS auditor is attempting to evaluate the:
   a) Clarity and simplicity of the business continuity plans
   b) Adequacy of the business continuity plans
   c) Effectiveness of the business continuity plans
   d) Ability of IT and end-user personnel to respond effectively in emergencies

3. During the design of a business continuity plan, the business impact analysis (BIA) identifies critical processes and supporting applications. This will PRIMARILY influence the:
   a) Responsibility for maintaining the business continuity plan
   b) Criteria for selecting a recovery site provider
   c) Recovery strategy
   d) Responsibilities of key personnel

4. During a review of a business continuity plan, an IS auditor noticed that the point at which a situation is declared to be a crisis has not been defined. The MAJOR risk associated with this is that:
   a) Assessment of the situation may be delayed
   b) Execution of the disaster recovery plan could be impacted
   c) Notification of the media might not occur
   d) Potential crisis recognition might be ineffective

5. An organization has just completed their annual risk assessment. Regarding the business continuity plan, what should an IS auditor recommend as the next step for the organization?
   a) Review and evaluate the business continuity plan for adequacy
   b) Perform a full simulation of the business continuity plan
   c) Train and educate employees regarding the business continuity plan
   d) Notify critical contacts in the business continuity plan

6. Integrating business continuity planning (BCP) into an IS project aids in:
    a) The retrofitting of the business continuity requirements
    b) The development of a more comprehensive set of requirements
    c) The development of a transaction flowchart
    d) Ensuring the application meets the user's needs

7. While observing a full simulation of the business continuity plan, an IS auditor notices that the notification systems within the organizational facilities could be severely impacted by infrastructural damage. The BEST recommendation the IS auditor can provide to the organization is to ensure:
    a) The salvage team is trained to use the notification system
    b) The notification system provides for the recovery of the backup
    c) Redundancies are built into the notification system
    d) The notification systems are stored in a vault

8. The activation of an enterprise's business continuity plan should be based on predetermined criteria that address the:
    a) Duration of the outage
    b) Type of outage
    c) Probability of the outage
    d) Cause of the outage

9. An organization has outsourced its wide area network (WAN) to a third-party service provider. Under these circumstances, which of the following is the PRIMARY task the IS auditor should perform during an audit of business continuity (BCP) and disaster recovery planning (DRP)?
    a) Review whether the service provider's BCP process is aligned with the organization's BCP and contractual obligations
    b) Review whether the service level agreement (SLA) contains a penalty clause in case of failure to meet the level of service in case of a disaster
    c) Review the methodology adopted by the organization in choosing the service provider
    d) Review the accreditation of the third-party service provider's staff

10. An IS auditor can verify that an organization's business continuity plan (BCP) is effective by reviewing the:
    a) Alignment of the BCP with industry best practices
    b) Results of business continuity tests performed by IT and end-user personnel
    c) Off-site facility, its contents, security and environmental controls.
    d) Annual financial cost of the BCP activities versus the expected benefit of implementation of the plan