**Week 13 Audit Assignment**

You have been assigned to Audit ABC a small IT auditing firm with two locations. Mrs. Alice Jones the HR director is in charge of the audit process, she was hired one month ago. Alice is concerned about that employees are not following the appropriate protocols to secure sensitive information and the IT infrastructure is outsourced and at time no one is aware what is going on. Alice give you ABC IT Model below:

|  |  |  |
| --- | --- | --- |
| Servers | 1 | Dell Server: Host AD, SQL and IIS |
| Network | LAN and Wireless connected to the internet | Wireless Network has no passwords because employees have difficulty remembering it |
| Workstations | 50 | Employees bring external drives and install their personal applications. Connect to the AD Server |
| Server Operating System | Windows 2012 | Is very slow and at time shut down for no reason |
| Network Operating System | Windows 10 | Employees have all their favorite pictures on the desktop. Keep getting malware which incurs cost every time a technician is called. |
| Remote Locations | 1 | Use Windows Remote Desktop |
| Applications | 1 | SQL Database Server and IIS Web Server |
| Domain Controllers | 1 | Active Directory |

Alice is very concerned because they deal with some very sensitive data from time to time and most. You job as the Auditor is to:

1. Explain the Audit Process to Alice
   1. What should be known, and list the different stages if necessary
2. Define the scope of the audit
   1. Give her a list of what could be audited
3. What are some of the task of fieldwork that will be conducted during the audit and why
4. Generate a report for the audit and recommendations
5. What are some critical items you would follow-up

You will be answering the question above in a MS word document and uploading it to Week 13 Assignment.