

Audit Plan Project

One of the learning goals of this class is to prepare you to audit a company's IT governance capabilities. Your team will prepare an audit program for the same security policy you wrote in Week 7. You will be auditing the same hypothetical firm that does \$50MM of sales with 100 employees and 10 IT people. Since you are already an expert on one of the following policies, you will need to prepare your audit program to audit the controls (for both sufficiency and effectiveness) you suggested in week 7.

- Data Destruction Policy
- Social Security Number Policy
- Remote Access Policy
- Electronic Document Retention Policy
- Memory Drive Usage Policy

You will create:

1. An outline (Excel or Word, max 3 pages) that covers all the goals of your audit, the areas you need to consider in your audit program and how you will gather evidence for each to justify your conclusion on each area.
2. A similar short video (Rich's section) or presentation (Jan's section) that you would use to sell the company's audit committee on the soundness of your audit plan.

Rich's Section: Your team will post its audit program and video on the class blog for others to consider. Everyone is expected to read and comment on a minimum of four different audit programs.

Jan's Section: You will turn your audit plan and slides to Jan at the start of class the night you present your audit plans to your classmates.