MIS 4596

Data Privacy

Class 5



- Online privacy
- Privacy and data protection by design

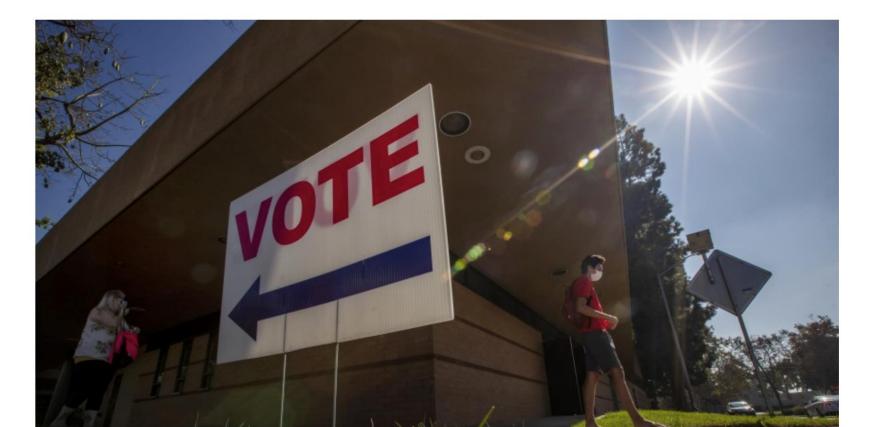
...with data provenance and lineage metadata

DNLINE PRIVACY: How did we get here?

California Consumer Privacy Act (CCPA, 2018) & California Privacy Rights Act (CPRA, 2020)

Los Angeles Times

California voters approve Prop. 24, ushering in new rules for online privacy



CORONAVIRUS AND PANDEMIC >

Concordia University coronavirus 'outbreak' attributed to more than 50 'false positives'

Subscribe now \$4 for 4 months

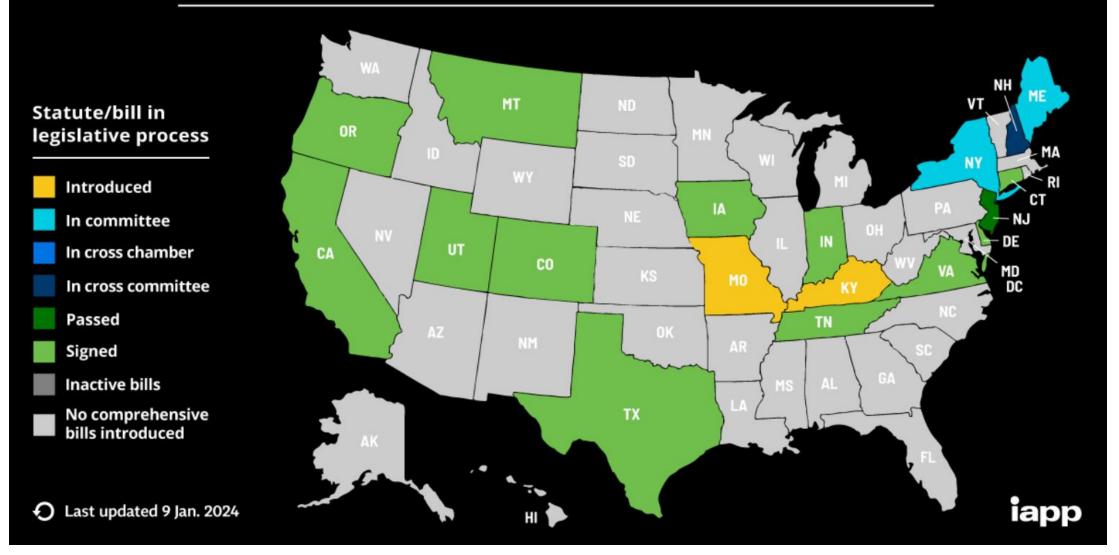
Are L.A. County's new COVID restrictions renecessary? We talk to the experts

Coronavirus infections are higher than ever, COVID-19 deaths are not. Why?

State Data Privacy Laws in Effect

- California Consumer Privacy Act (CCPA) Effective date: 1/1/2020
- California Privacy Rights Act (CPRA) Effective date: 1/1/2023
- Colorado Privacy Act (CPA) Effective date: 1/1/2023
- Virginia Consumer Data Protection Act Effective date: 1/1/2023

US State Privacy Legislation Tracker 2024



https://iapp.org/media/images/resource_center/State_Comp_Privacy_Law_Map.png https://iapp.org/resources/article/us-state-privacy-legislation-tracker/

Hilton Hotels fined for credit card data breaches

I November 2017





Ex-Marine bar attack

The bar was country mus opened fire,

() 1 hour ag

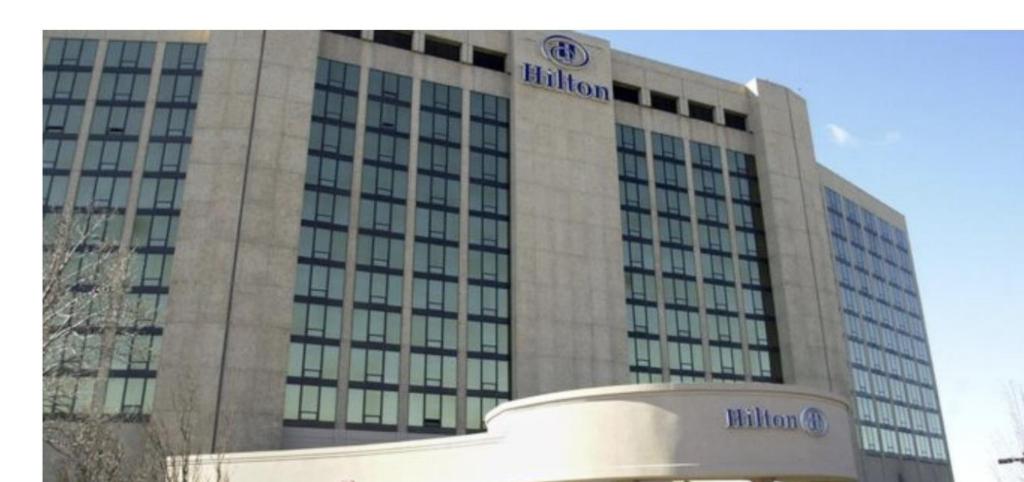
US Supre ribs

() 3 hours a

Russia pr Democrat

() 2 hours ag

Feature



Hilton Hotels fined for credit card data breaches

() 1 November 2017

🔰 🔽 < Share Hilton's \$700,000 fine for data breach impacting 350,000 customers

Top Sto

Ex-Marine bar attack The bar was country mus

US Supre ribs

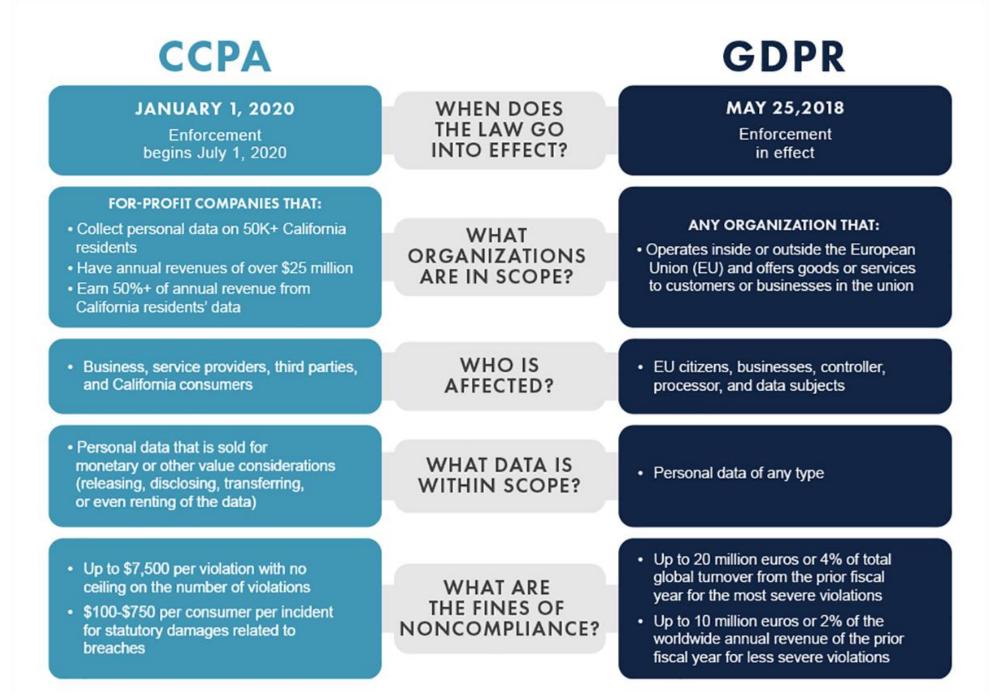
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Featur

Hilton Hotels fined for credit card data Top Sto Under the European Union's ------General Data Protection The bar was country mus opened fire, I hour ag Regulation (GDPR) the fine supre ribs would have been 4% of ③ 3 hours a **Russia pr** Hilton's global revenue Democrat ③ 2 hours a \$420,000,000 Featur



Biggest GDPR Fines 2022

1. Meta: €405m

Mishandling child users' data on Instagram.

Prevention: Keep the accounts and data of young users private by default.

2. Meta: €265m

A data breach resulted in the personal data of over 500m users being published online.

Prevention: Protect systems from unauthorized data scraping

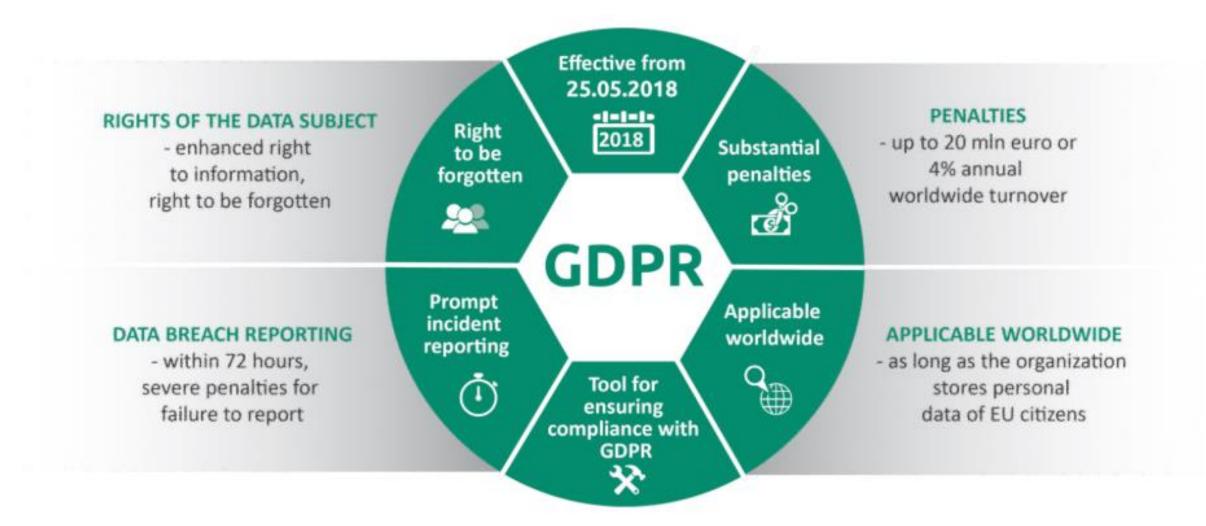
3. Meta: €210m

Using forced consent to process users' data for the purpose of targeted ads.

Prevention: Provide sufficient clarity about data processing and have a legal basis.



CyberPilot



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✓ Required	Functionality	Analytical	Marketing
Save			

GDPR requires data security by design and default...

Data protection capabilities must work from beginning to end of data processing to enable protection of individuals' personal data by default



- Taking into account the state of the art, the cost of implementation and the nature, scope context and purposes of processing as well as the risks of varving likelihood and severity for rights and freedoms of natural persons posed by the processing, the controller shall, both at the time of the determination of the means for processing and at the time of the processing itself, implement appropriate technical and organisational measures, such as pseudonymisation, which are designed to implement data-protection principles, such as data minimisation, in an effective manner and to integrate the necessary safeguards into the processing in order to meet the requirements of this Regulation and protect the rights of data subjects
- (2) The controller shall implement appropriate technical and organisational measures for ensuring that, by default, only personal data which are necessary for each specific purpose of the processing are processed. That obligation applies to the amount of personal data collected, the extent of their processing, the period of their storage and their accessibility In particular, such measures shall ensure that by default personal data are not made accessible without the individual's intervention to an indefinite number of natural persons
- (3) An approved certification mechanism pursuant to Article 42 may be used as an element to demonstrate compliance with the requirements set out in paragraphs 1 and 2 of this Article.

Key General Data Protection Regulation (GDPR) requirements:

- **Collection** of personal data is **fully avoided or minimized** at the 1. earliest stage of processing
- Data subjects give **specific**, **informed** and **explicit** consent to the 2. processing of their data
- 3. Data subjects have **right to access, review and rectify** their personal data
- Data subjects have the **right to withdraw given consent** with 4. effect for the future and
 - Block access
 - Constrain processing and use
 - Erase their personal data
- Personal data obtained for one purpose must not be processed 5. for other purposes not compatible with the original purpose

Danezis, G. et al. (2014) "Privacy and Data Protection by Design", European Union Agency for Network and Information Security (ENISA)

D' Acquisto, G. et al. (2015) "Privacy by design in big data", European Union Agency for Network and Information Security (ENISA)

Achieving "Privacy by Design" is difficult

Privacy is a complex, multifaceted and contextual notion Not the primary requirement of an information system May come into conflict with other requirements

"...privacy and data protection features are... ignored by traditional engineering approaches when implementing desired functionality.

• This ignorance is caused by limitations of awareness and understanding of developers and data controllers as well as lacking tools to realize privacy by design"

Danezis, G. et al. (2014) "Privacy and Data Protection by Design", European Union Agency for Network and Information Security (ENISA)

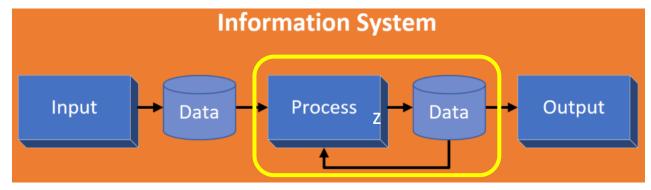
Privacy and Data Protection by Design

"Although the concept has found its way into legislation as the... European General Data Protection Regulation, its concrete implementation remains un-clear at the present moment"

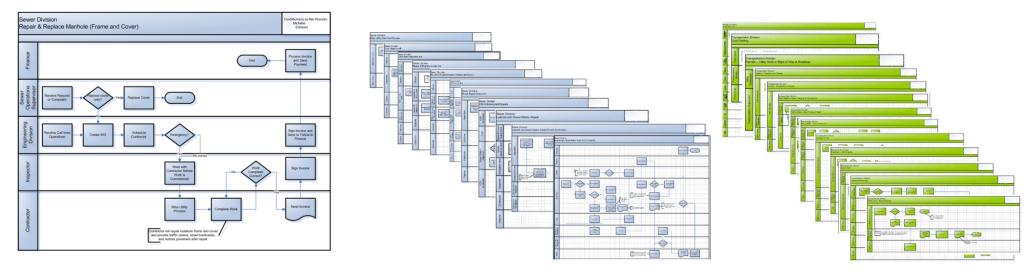
> Danezis, G. et al. (2014) "Privacy and Data Protection by Design", European Union Agency for Network and Information Security (ENISA)

As a practical matter...

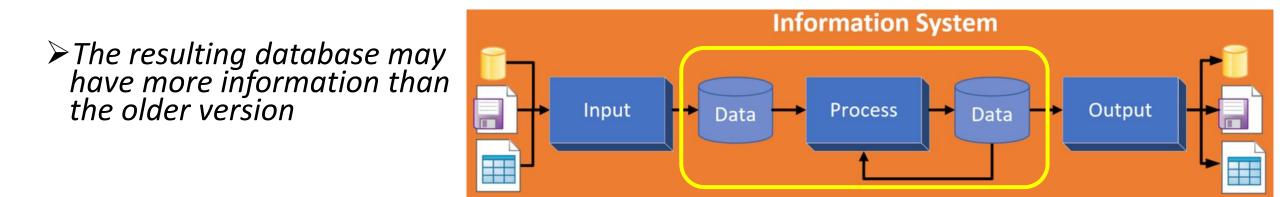
Data within information systems are often stored and organized as datasets within files and/or databases...



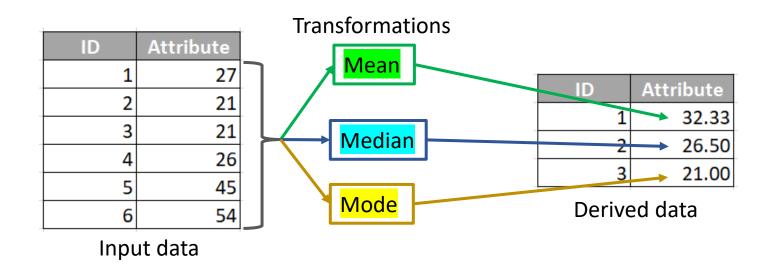
Regardless of application, there is reliance on data processing workflows to produce and use information



Data processing often transforms existing data into new data, which is a double-edged sword...



> The meaning of the new information, however, is exogenous and not found in the data itself



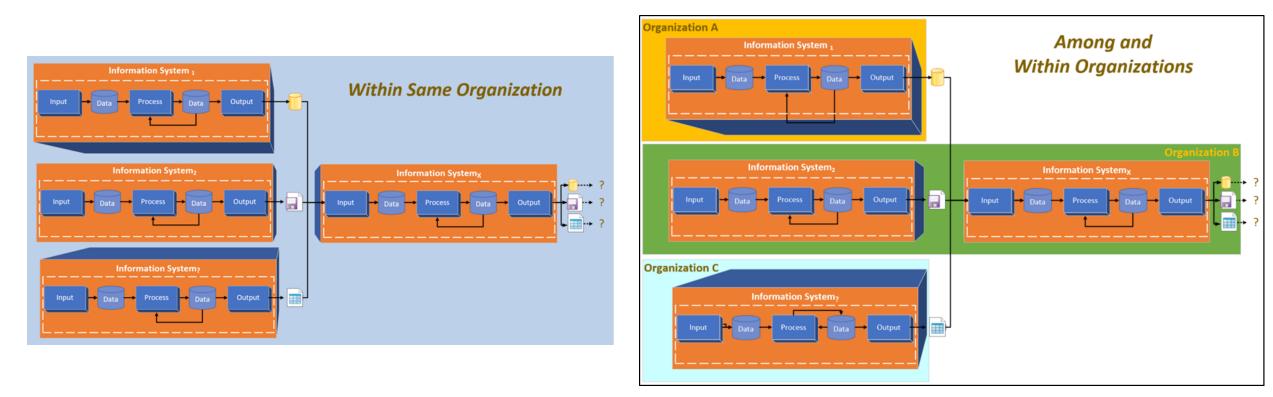
Evaluating & judging data's "fitness for use"

- Is not the responsibility of the producer
- Is the responsibility of the user ...and IT Auditor

Data produced for one purpose is often used to serve other purposes

Data producers should provide information about data that permit informed determinations of fitness for use

Datasets are often exchanged without information needed to determine their fitness for use...



Provenance

Provenance traces back to 1294 in Old French as a derivative of the Latin *provenire*

• To come from, to be due to, be the result of

In the art domain, provenance entails an artifact's complete ownership history

```
ench Durand-Ruel, Paris, August 23, 1872 [1];
Catholina Lambert, New Jersey;
Lambert sale, American Art Association, Plaza Hotel, New York, NY,
February 21, 1916 until February 24, 1916, no. 67;
Durand-Ruel, Paris, until at least 1930;
purchased by Simon Bauer, Paris, by June 1936 [2];
anonymous sale, Parke-Bernet Galleries, Inc., February 25, 1970, no. 19 [3];
Sam Salz, Inc., New York, NY;
purchased by Museum, May 1971.
S an Notes:
[1] bought from the artist.
[2] Listed and illustrated in "List of Property Removed from France
during the War 1939-1945" (no. 7114, as belonging to Simon Bauer).
[3] "Highly Important Impressionist, Post-Impressionist &
Modern Paintings and Drawings", illustrated.

Newbury, D. (2017) "Standardizing Paint Standardizing Paint Paint Standardizing Paint Standardizing Paint Standardizing Paint Standardizing Paint Paint Paint Paint Paint Standardizing Paint Standardizing Paint P
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The Bridge at Villeneuve-la-Garenne

by Alfred Sisley British

Newbury, D. (2017) "Standardizing Museum Provenance for the Twenty-First Century", from talk given at the Yale Center for British Art

1872

```
Standardizing Museum Provenance - David Newbury (@workergnome)
```

Traditional Provenance

There is an established research process for obtaining an artifact's trusted provenance

• This information is highly valued, particularly to authenticate real versus fraudulent works

"Provenance" is now increasingly used in a broad range of fields with various degrees of conflation of two closely related but distinct concepts of *trust* and *metadata*

Tullis, J.A. et al., 2016, "Geoprocessing, Workflows, and Provenance", in <u>Remote Sensing Handbook: Remotely Sensed Data Characterization, Classification, and Accuracies</u>, edited by P. Thenkabail, Vol. 1., pp. 401-422, Boca Raton, FL: CRC Press.



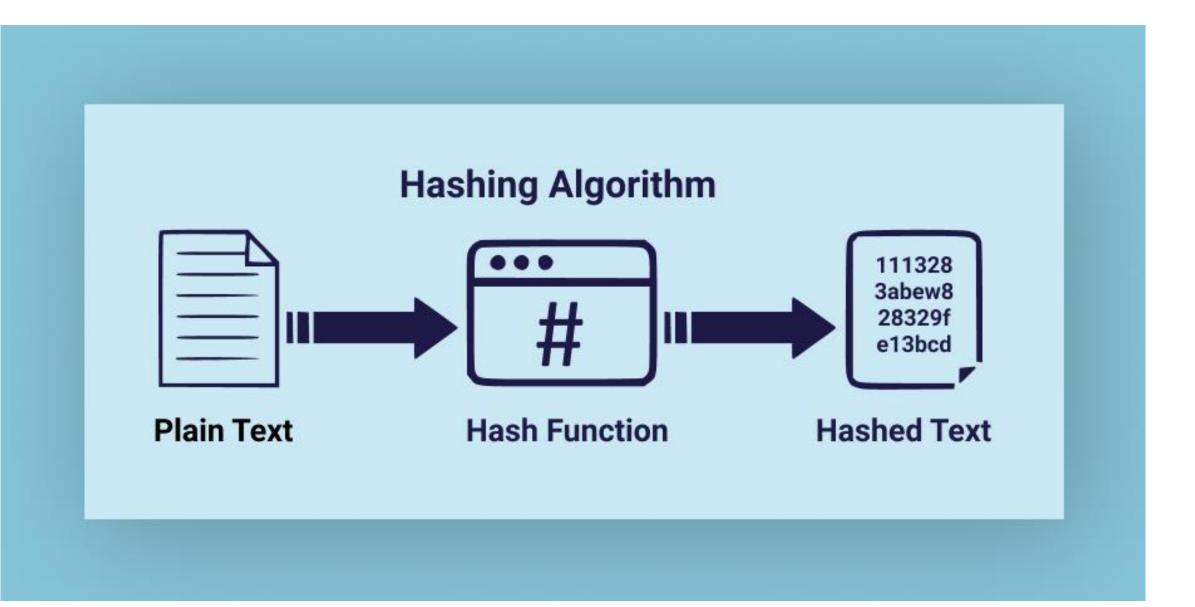
Provenance

W3C Provenance Incubator Group's definition of provenance (in a web resource context):

- Provenance is a record that describes entities and processes involved in producing and delivering or influencing a resource
- Provenance provides a critical foundation for assessing authenticity, enabling trust, and allowing reproducibility
- Provenance assertions are contextual metadata that can become important records with their own provenance

https://www.w3.org/TR/prov-primer/

W3C = World Wide Web Consortium

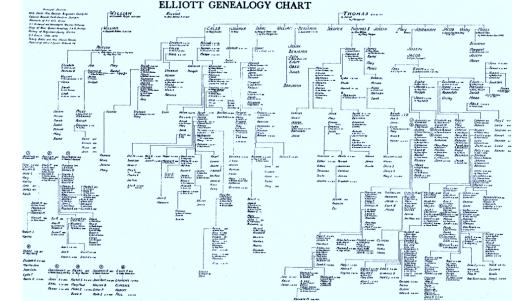


Provenance and data lineage

"Data provenance" and "data lineage" is used here interchangeably, overlooking subtle differences in their meanings

- Data provenance suggests process history
- Data lineage implies a kind of genealogy or data pedigree record relative to both:
 - 1. Sources of data
 - +
 - 2. <u>Processing applied to the sources to produce an information product</u>

Data lineage metadata can aid understanding and establish trust of data...

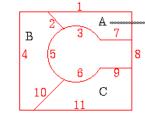


Early metadata standards for documenting lineage of data produced with Geographic Information Systems

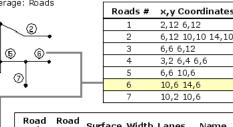
FGDC-S'	TD-001-1998	EUROPEAN STANDARD NORME EUROPÉENNE	EN ISO 19115-1
NSDI A		EUROPÄISCHE NORM	April 2014
ational Spatial Data Infrastructure		ICS 35.240.70	Supersedes EN ISO 19115-2005
		E	inglish Version
		M Part 1	hic information — letadata — : Fundamentals 19115-1:2014)
		Information géographique — Métadormées — Partie 1: Principes de base (ISO 19115-1:2014)	Geoinformation — Metadaten — Teil 1: Grundsitze (ISO 19115-1.2014)
ntent Standard for Digital Geospatial Metadata		This European Standard was approved by CEN on 22 Februar CEN members are bound to comply with the CEN/CENELEC I Standard the status of a national standard without any alteratio standards may be obtained on application to the CEN-CENEL	Internal Regulations which slipulate the conditions for giving this European on. Up-to-date lists and bibliographical references concerning such national
ta Ad Hoc Working Group Geographic Data Committee		This European Standard exists in three official versions (Englis under the responsibility of a CEN member into its own languag status as the official versions.	sh, French, German). A version in any other language made by translation as and notified to the CEN-CENELEC Management Centre has the same
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Federal Geographic Data Committee Department of Agriculture • Department of Commerce • Department of Defense • De	epartment of	COMITÉ EURO	HITEE FOR STANDARDIZATION PÉEN DE NORMALISATION 8 Komitee für Normung
Energy epartment of Housing and Urban Development Department of the Interior Department of Transportation Environmental Protection Agency	ment of State	CEN-CENELEC Management	Centre: Avenue Marnix 17, B-1000 Brussels
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Geographic Information System (GIS)

- Provides similar data import, query, manipulation, analysis (e.g. statistics), reformat, display/visualization, output and report capabilities as other information systems
- Also organize their data in
 - Data base management systems
 - File systems

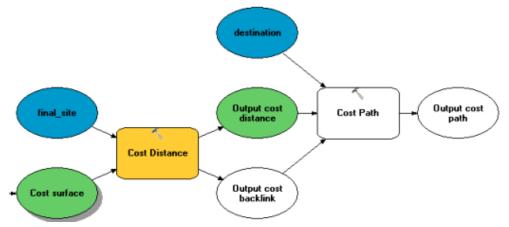


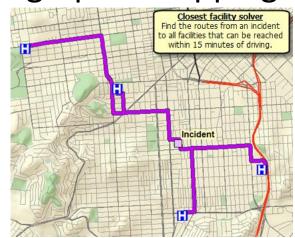
Polygon Attribute Table			
Polygon	Area	Parcel Number	Land Use
A	12,001	11-115-001	RI
В	15,775	11-115-002	R 1
С	19, 136	11-115-003	RЗ

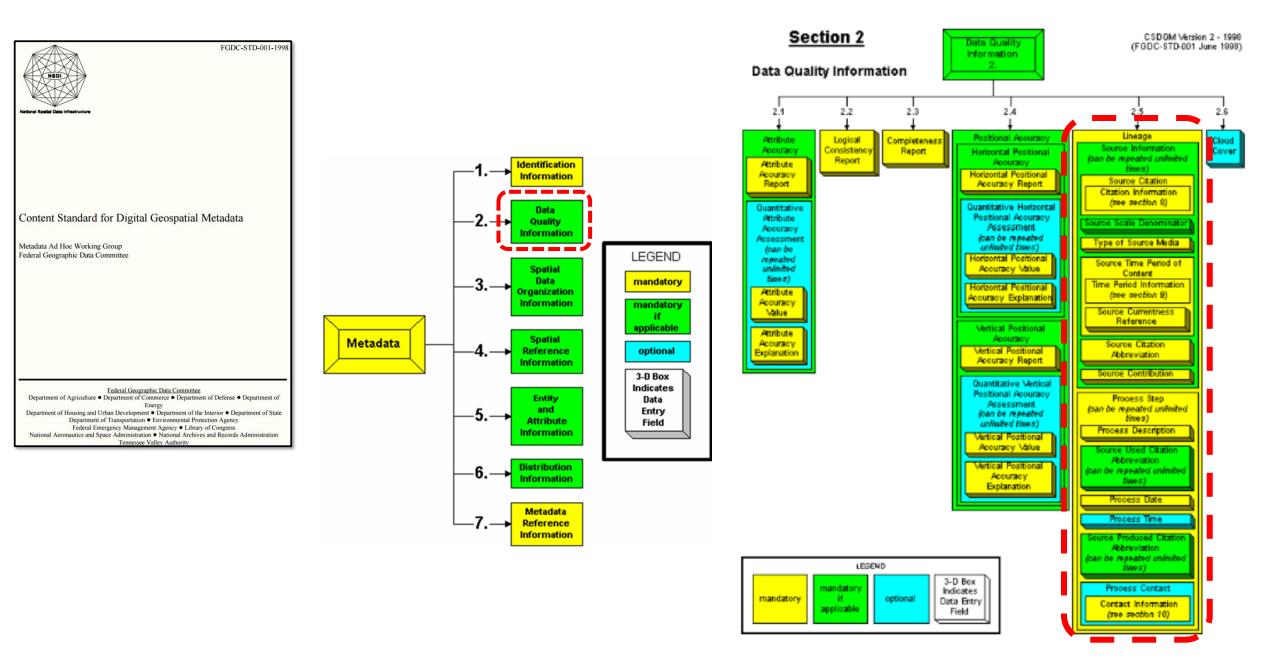


Numbe	r Type	Sunace	with	Lanes	Name
1	1	Concrete	60	4	Hwy 42
2	1	Concrete	60	4	Hwy 42
3	2	Asphalt	48	4	N Main St.
4	2	Asphalt	48	4	N Main St.
5	3	Asphalt	32	2	Cedar Ave.
6	3	Asphalt	32	2	Cedar Ave.
7	4	Asphalt	32	2	Elm St.

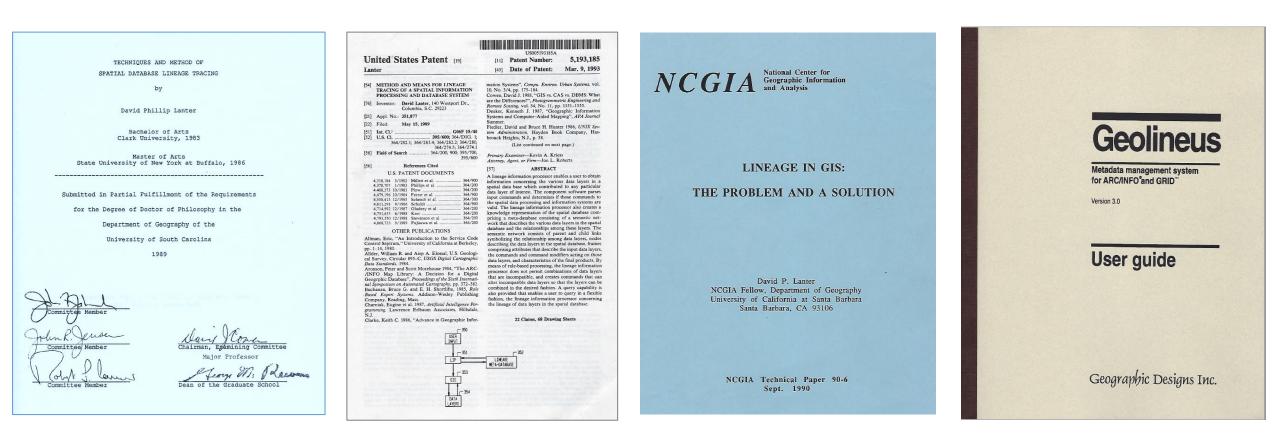
• With the addition of spatial analysis and cartographic mapping capabilities



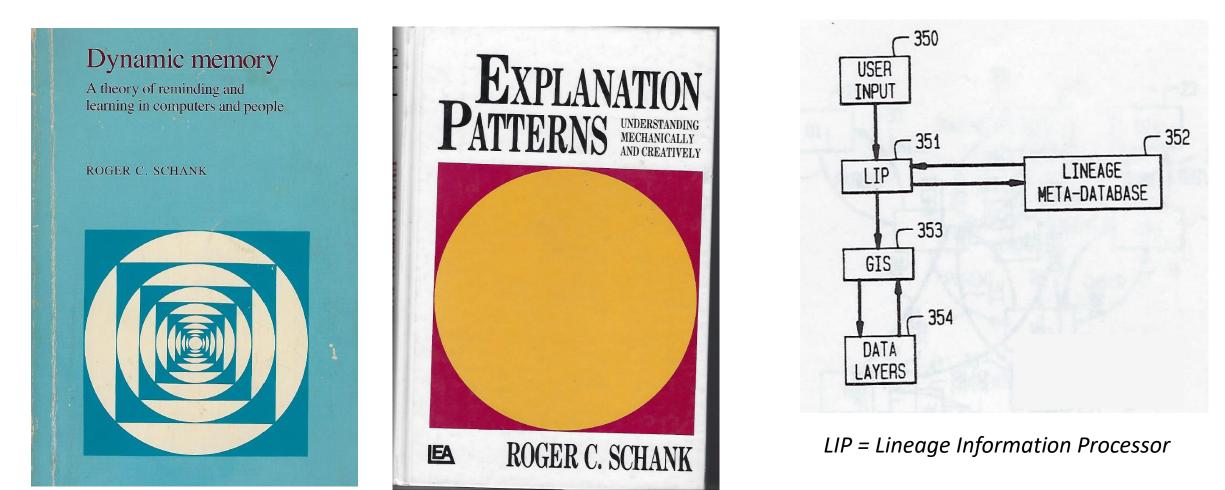




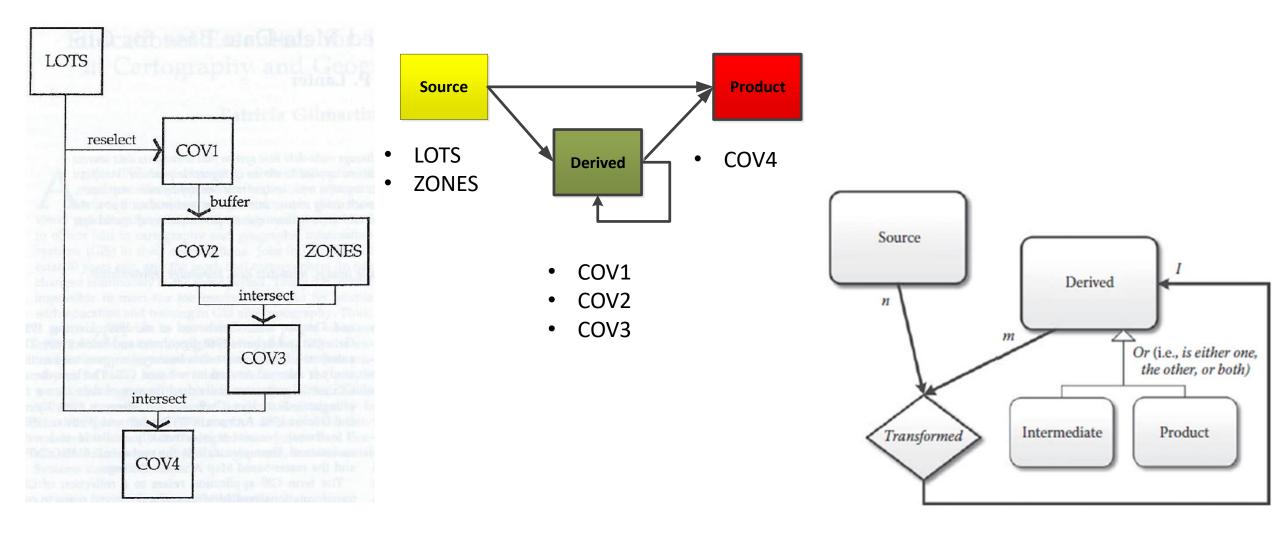
1st application for tracking the lineage of data throughout their processing in information systems



How can I program the computer to help me remember what I knew about the data I loaded and processed on my computer?

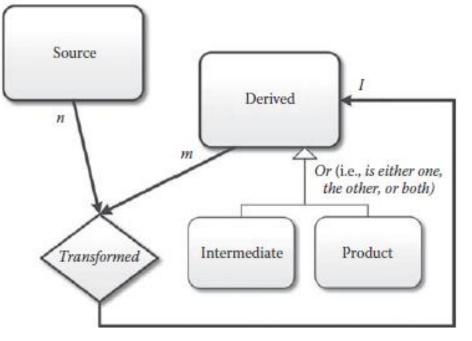


How do we understand differences among datasets created during processing applications?



Data lineage vocabulary helps communicate how data is processed in an information system

and can aid thinking about how to meet privacy by design requirements

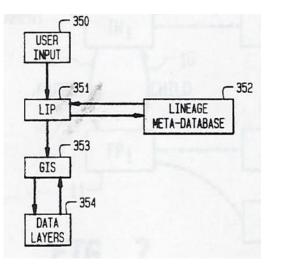


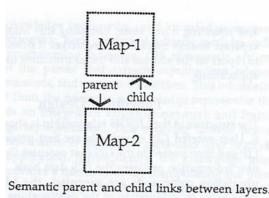
Source datasets may contain personal data

Derived datasets inherit this personal data from their input

- Using transformations such as:
 - Relational database joins and relates
 - Queries, arithmetic, statistical, spatial processing...

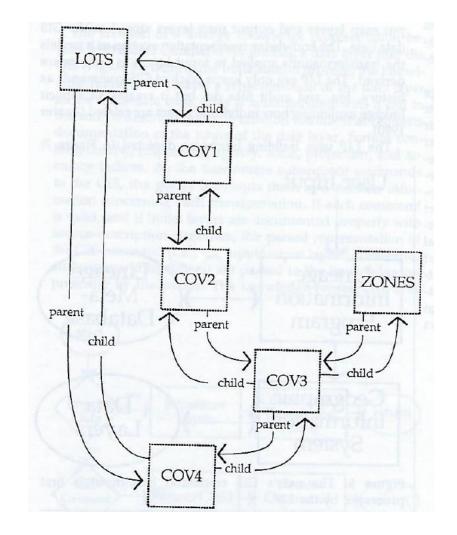
Semantic "parent" & "child" metadata links added to enable deductions about relationships among input & output datasets...



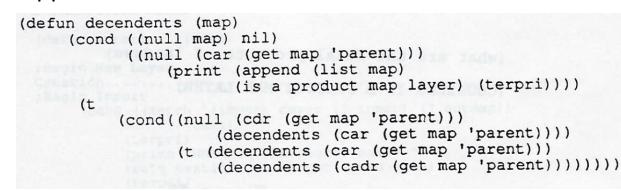


Input datasets provided with parent links pointing to output datasets can answer the question: *Who am I the parent of?*

Output datasets' child links connect them back to their input datasets can answer the question: *Who am I the child of?*



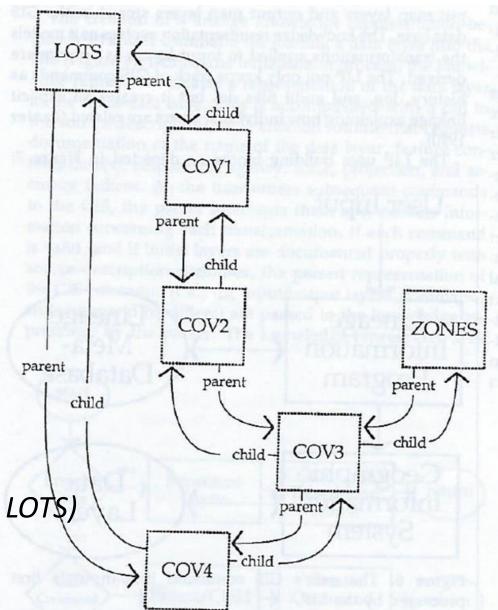
Descendants function traces parent links to identify all datasets derived from a source or other derived input dataset used within the application.



Descendants ("LOTS") = (COV1, COV2, COV3, COV4)

Ancestors function traces child links to identify input datasets used to create a derived dataset

Ancestors ("COV4") = (LOTS, COV3, ZONES, COV2, COV1, LOT\$)



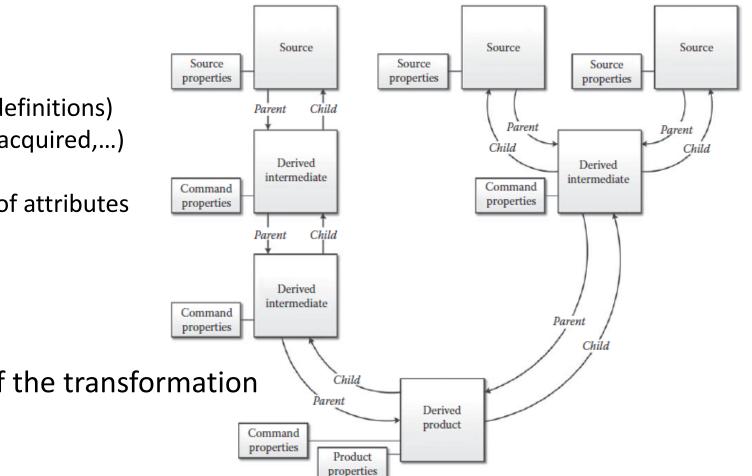
Source properties can include:

- Originating organization
- Data content (i.e. entity and attribute definitions)
- Timeliness (e.g. when collected, when acquired,...)
- Accuracy
- Confidentiality security categorization of attributes
 - Privacy sensitivity of attributes
- Integrity categorization of attributes...
- Availability categorization...

Command properties include details of the transformation

Product properties include the product's

- intended goal
- Users
- when published
- responsible manager,...



Meet Geo_lineus source metadata input

(geo_lineus)I am Geo_lineus Please give me information or ask questions: import cover landuse landuse

What is the source name? landuse-landcover

Containing what cartographic features? hydrography urban agriculture wetland

What is the source date? 3/12/75

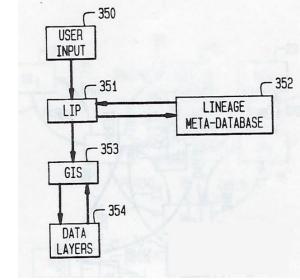
What is the source agency? USGS

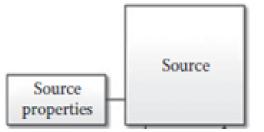
What is the source scale? 1/24000

What is the source projection? UTM

What is the source accuracy? +-80 meters

Thank You!

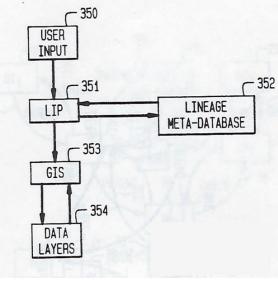


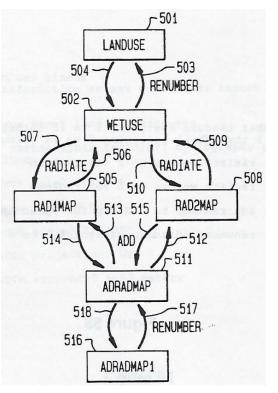


SOURCE DESC	
SOURCE:	Digital line graph
FEATURES:	Hydrography
S_DATE:	4/7/83
AGENCY:	USGS
SCALE:	1:100,000
PROJECTION:	Mercator
ACCURACY:	+-10 meters Horiz

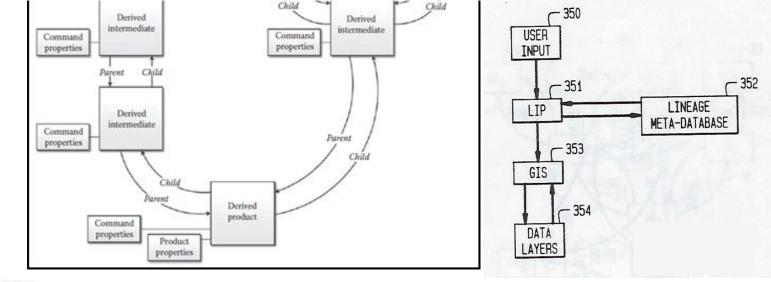
Command metadata input...

(geo_lineus) (I AM GEO_LINEUS) (PLEASE GIVE ME INFORMATION OR ASK QUESTIONS) (renumber landuse assigning 1 to 2 through 13 assigning 0 to 1 through 11 assigning 0 to 14 through 18 for wetuse) (I UNDERSTAND) (radiate wetuse to 2 for rad1map) (I UNDERSTAND) (radiate wetuse to 6 for rad2map) (I UNDERSTAND) (add rad1map to rad2map for adradmap)





Product Metadata input...



export cover adradmap1 eco zones

What is the product's name? eco_zones

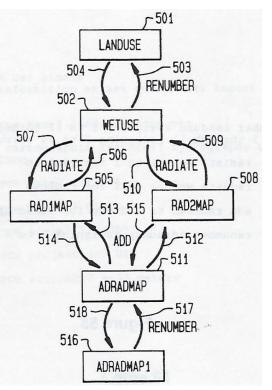
What is the product's use? Environmental protection of wetlands

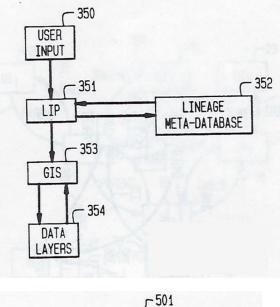
Who are the product's users? Dept of Health and Environ. Conservation

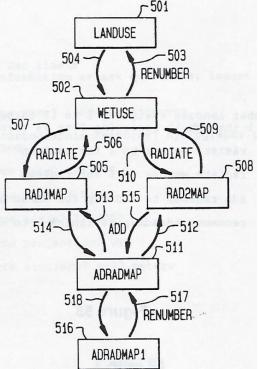
Who is responsible for the product? Diego Essinger

What is the product's release date? 3/5/89

Thank You!



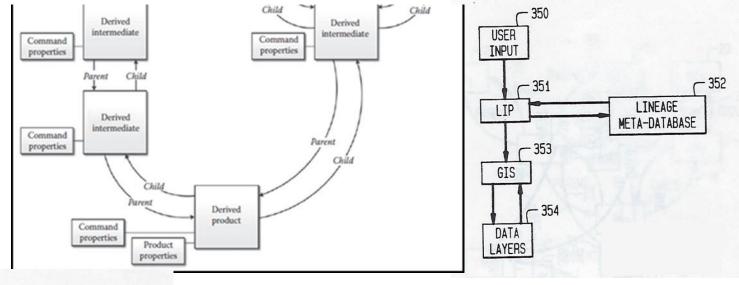




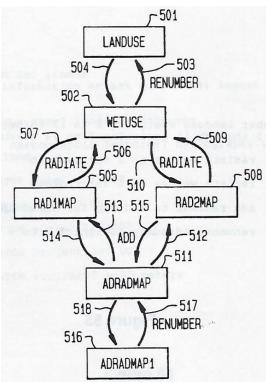
Querying metadata...

Is landuse a parent of adradmap (YES INDEED LANDUSE IS A PARENT OF ADRADMAP)



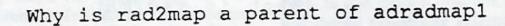


What is the lineage of adradmap1 (INPUT TO ADRADMAP1 IS ADRADMAP COMMAND IS RENUMBER) (INPUT TO ADRAPMAP IS RAD2MAP RAD1MAP COMMAND IS ADD) (INPUT TO RAD2MAP IS WETUSE COMMAND IS RADIATE) (INPUT TO WETUSE IS LANDUSE COMMAND IS RENUMBER) (LANDUSE IS AN ORIGINAL MAP LAYER) (INPUT TO RAD1MAP IS WETUSE COMMAND IS RADIATE) (INPUT TO WETUSE IS LANDUSE COMMAND IS RENUMBER) (LANDUSE IS AN ORIGINAL MAP LAYER)

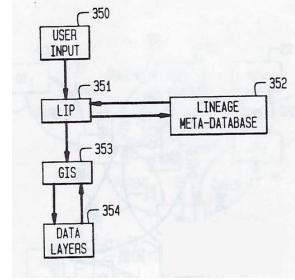


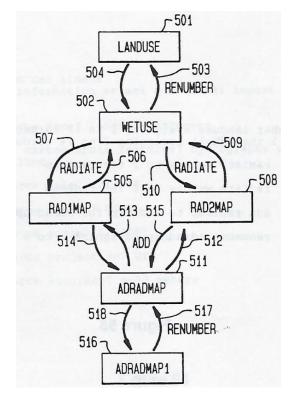
Querying metadata...

What are the final products of landuse (ADRADMAP1 IS A PRODUCT MAP LAYER)

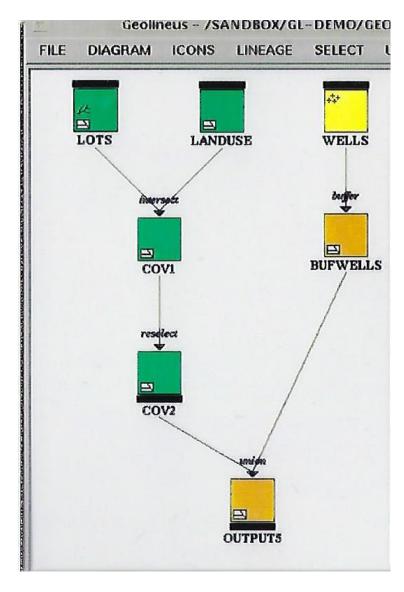


(BECAUSE RAD2MAP IS A PARENT OF ADRADMAP AND ADRADMAP IS A PARENT OF ADRADMAP1)





Adding a graphical user interface...

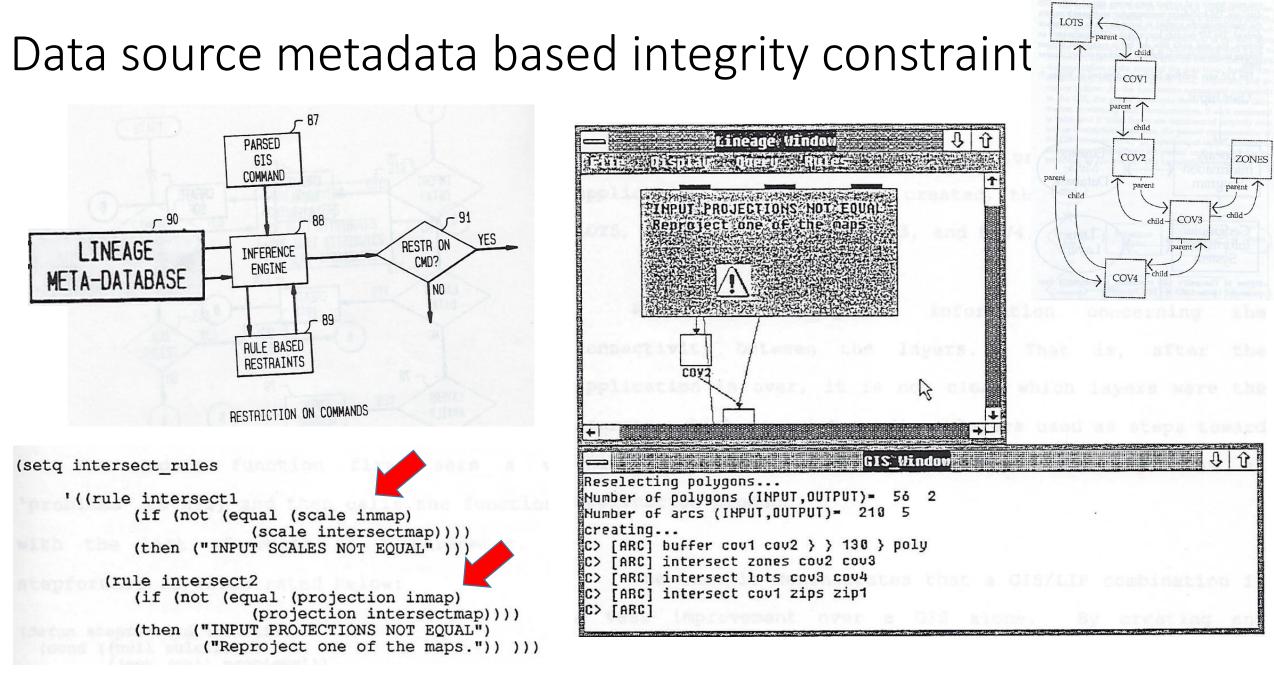


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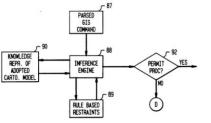
+

4t

Help on icons GRID scalar variable. Source layer. A basic data layer in the GIS. Derived layer. Layer was created as a result of an ARC/INFO command like Coverage has been edited in ARCEDIT since the BUFFER, INTERSECT or GRIDPOLY. last CLEAN and BUILD. Product layer. A derived layer that represents the final step in a GIS Coverage has been edited in ARCEDIT since the application. To turn a derived layer last CLEAN and BUILD and polygon topology 1E into a product, choose 'Make product' needs rebuilding. from the 'lcons' menu. Coverage in which arc features have been rebuilt Coverage containing point features. It but polygon topology still needs rebuilding. 45 has a point attribute table (PAT). Layer that is now out-of-date because one or Coverage containing arc features. It has more of its sources has changed. Out-of-date an arc attribute table (AAT). status is only displayed if the 'Out-of-date' option in the 'Diagram' menu is turned on. Derived layer with incomplete command frame. Coverage containing polygon features. It Icon was added to diagram by the 'Create has a polygon attribute table (PAT). from log' option from the 'File' menu and represents the result of a command, such as **RESELECT or ELIMINATE. The subcommands of** Coverage with both a point attribute table which cannot be extracted from the log and an arc attribute table. A 'dimmed' layer. This layer no longer exists. It has ether been KILLed, or moves to a new Coverage with both an arc attribute table location. Dimmed derived layers are recreated 從日 and a polygon attribute table. with the 'Recreate' option from the 'Update' menu. A dimmed GRID scalar. Icon was added to diagram Grid with integer cell values. with the 'Create from log' option so value is unknown Grid with integer cell values, and a value attribute table (VAT) GUI design by Rupert Essinger Grid with floating point cell values.



Conclusion:

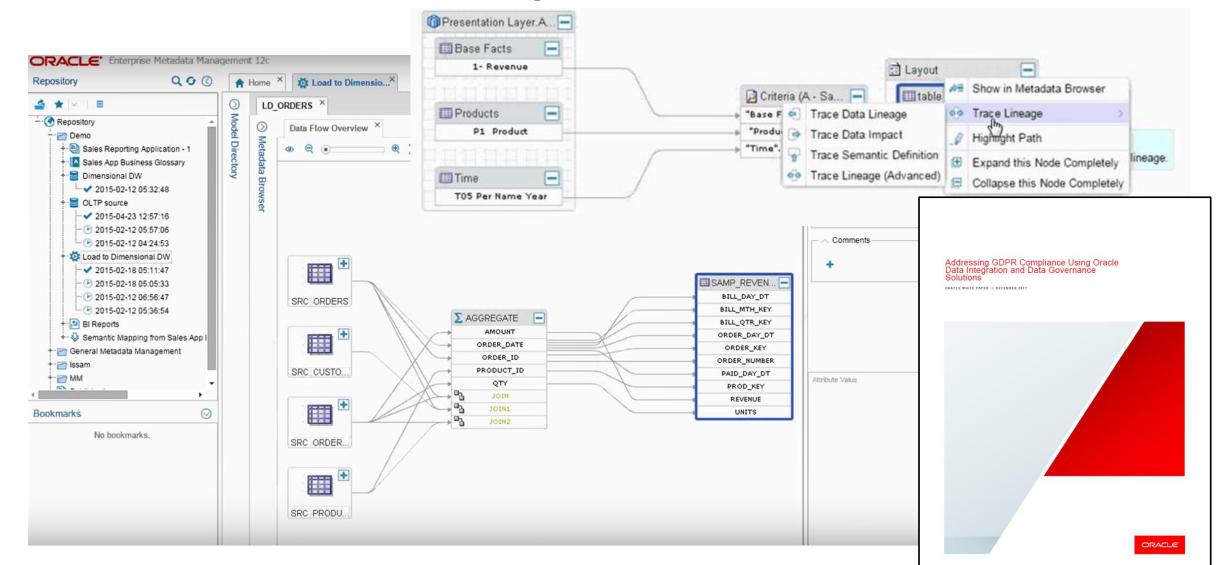




Data lineage metadata can help information system developers meet key data protection by design requirements:

- 1. Collection of personal data is fully avoided or minimized at the earliest stage of processing
- 2. Data subjects give specific, informed and explicit consent to the processing of their data
- 3. Data subjects have right to access, review and rectify their personal data
- 4. Data subjects have the right to withdraw given consent with effect for the future and
 - Block access
 - Constrain processing and use
 - Erase their personal data
- 5. Personal data obtained for one purpose must not be processed for other purposes not compatible with the original purpose

Outlook: Commercial database management systems are beginning to include lineage metadata capabilities for tracking attribute values processed and transformed among relational database tables ...



How do you know what to protect? Data Discovery



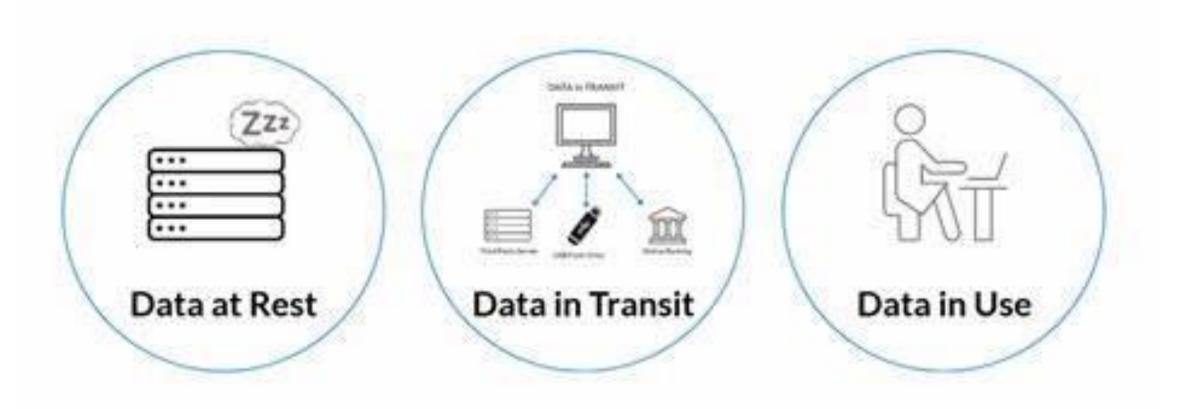
How do you know at what level you need to protect it? Data Tagging



Each level has its own protections based on sensitivity



3 states of data





✓ Online privacy

✓ Privacy and data protection by design

✓ ...with data provenance and lineage metadata